

PROFESSIONAL EXPERIENCE

Retirement & Tax Solutions – La Jolla, CA 92038 January 2004 - Present
 Financial Planner

Pacific Alliance Advisors, LLC - La Jolla, CA 92038 July 2003 - Present
 Mortgage Broker, Real Estate Broker, Life and Health Insurance

Prudential Securities Inc. - San Diego, CA 92101 January 1997 - January 2004
 Financial Advisor

Develop Relationships

- Locate families and businesses with the need for financial advice in the real estate, debt/borrowing/mortgages, life & health insurance, investments area and help them define their personal financial goals including retirement, education financing and business management
- Secure a level of trust. Focus and agree to the scope of the engagement for financial advice.

Gather Data & Create Financial Plan

- Work closely with clients to gather necessary information to ascertain client suitability and completeness of plan
- Provide analysis of problems with current financial situation and solutions to those problems

Implement Personal Plan

- Perform quality control on all new accounts before assets are allocated to the models
- Invest assets in agreed upon assets and allocation

Monitor Performance & Analysis

- Review monthly client statements
- Research and maintain, all indexes returns in the database for client benchmarks
- Contacting clients, reviewing performance and tracking the fulfillment of their personal financial goals, modify investments when needed

EDUCATION & LICENSES

Wharton School of Business – Philadelphia, Pennsylvania January 2008
 Retirement Planning Strategy Program

Graduate of the Real Estate Institute January 2007

Chartered Life Underwriter February 2006

San Diego State University - San Diego, California May 2005

Masters of Science in Financial and Tax Planning program

Certified Financial Planner™ CFP Board ID #94916 November 2004

San Diego State University - San Diego, California October 2002 – May 2004

Executive Financial Planner Program- Completed Certificate in Personal Financial Planning

Real Estate Broker License CA License #01356630 October 2002

Series 3, 7, 24, 53, 63, 65 Registrations December 1996 - June 1997

California Life, Health & Property and Casualty Insurance Lic. CA Insurance License #0C15306 June 1997 & July 2005

University of San Diego - San Diego, California Sept. 1992 - May 1996

Bachelors of Art in English Literature

University of Pais Vasco - San Sebastian, Spain Fall 1994

Study abroad Program

COMMUNITY WORK

Bird Rock Community Council – President, Chairman of the Board & VP January 2000 – March 2007
 Community Group, Non-Profit 501(c)(3) in La Jolla California

Financial Planning Association July 2008 - Present

La Jolla Community Planning Association - Trustee March 2002 - April 2003

Land Use Planning Group of La Jolla to the City of San Diego

The Villas at 1336 Homeowners Association- President August 2003-Present

Alta La Jolla Homeowners Association- President & Board Member July 1998- Present

Sigma Chi Fraternity-Balfour Fellow, Cornerstone Mentor, BLTW Facilitator January 2003-Present